

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
OKI.633

In Re Application Of: **Shinji TANABE et al.**

Serial No.
NEW

Filing Date
JANUARY 8, 2004

Examiner
TO BE ASSIGNED

Group Art Unit
TO BE ASSIGNED

Title: **SEMICONDUCTOR DEVICE HAVING A SHIELDING LAYER**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
OKI.633

In Re Application: Shinji TANABE et al.

Serial No.
NEW

Filing Date
JANUARY 8, 2004

Examiner
TO BE ASSIGNED

Group Art Unit
TO BE ASSIGNED

SEMICONDUCTOR DEVICE HAVING A SHIELDING LAYER

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

***This certificate may only be used if paying by deposit account.**

Dated: JANUARY 8, 2004

Signature
ADAM C. VOLENTINE
REG. NO. 33289

VOLENTINE FRANCOS, PLLC
12200 SUNRISE VALLEY DRIVE, SUITE 150
RESTON VA 20191

TEL. NO. (703) 715-0870

CC:

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Docket Number (Optional) OKI.633		Application Number NEW
Applicant(s) Shinji TANABE et al.		
Filing Date JANUARY 8, 2004		Group Art Unit TO BE ASSIGNED

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A	6,545,354	04/08/2003	AOKI et al.			
	B	6,501,169	12/31/2002	AOKI et al.			

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	C	8-78626	03/22/1996	JAPAN				✓
	D	2000-235979	08/29/2000	JAPAN				✓

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.